Enabling Citizen Engagement and Open Government with EA

Digital Government Institute EA Conference
April 22, 2010
Panel Agenda

- Introductions – Mary Forbes
- OpenGov Plan Overview – Todd Park
- Implementing the OpenGov Plan at HHS – John Teeter
- CMS OpenGov Initiatives – Ashley Corbin; Henry Chao
- Q&A/Discussion
I. Introduction

• On his first day in office, President Obama issued a call for increased openness in government

• Open Government Directive issued by the White House on December 8, 2009
  -- Open Government website: www.hhs.gov/open, released 2/6
  -- Open Government Plan: to be released 4/7

• The HHS Open Government Plan: HHS’s official response to the Open Government Directive
What is Open Government?

• One that is **transparent** – publishing government data that generates significant benefit for citizens and which helps the public hold the government accountable

• One that is **participatory** – tapping into the experiences, ideas, and expertise of people across the country

• One that is **collaborative** – encouraging cooperation across all levels of government and with the world outside government

• Above all, one that **works better** – harnessing the principles of openness to produce the best possible results for the American people
II. Leadership, Governance, and Culture Change

• Our Open Government Plan was developed by HHS’s Open Government Steering Committee, Data Council, Chief Information Officer Council, and Innovation Council

• Supported by White House

• Gained great benefit from public input -- gathered via www.hhs.gov/open and meetings with advocates

• Heart and soul of the Plan: “Open Government innovators” and the work they are doing across the Department
Moving Toward a Culture of Open Government

• Framing Open Government explicitly as a means of advancing HHS’s key strategic priorities

• Integrating Open Government into our strategic planning, IT planning, and budgeting processes

• Supporting our employees’ pursuit of Open Government
  -- Provision of “how to” information and tools via the intranet
  -- Establishment of a “community of practice” that allows sharing of ideas across HHS
  -- Ongoing town halls on Open Government
  -- New Secretary’s Innovation Awards program — HHSinnovates -- (debuting in April)
III. Transparency

• We believe that transparency and data sharing are of fundamental importance to our ability to achieve our goals of advancing the health and welfare of the nation

• Our transparency plan revolves around 4 core principles:

  1. Publish more government information online in ways that are easily accessible and usable
  2. Develop and disseminate accurate, high quality, and timely information
  3. Foster the public’s use of the information we provide
  4. Advance a culture of data sharing at HHS
Key Transparency Highlights

• Multi-faceted CMS transparency campaign, including the launch of the CMS Dashboard (beta) on April 6

• Major FDA transparency push, including the launch of FDA-TRACK (beta) on April 7

• Additional exciting data sets and tools to be published from across HHS by the end of 2010

• Transparency “process upgrades,” including a strategic push toward excellence in FOIA administration
Announcing the CMS Dashboard (beta)

National Top 25 DRG’s by Fiscal Year

The Social Security Act requires CMS to adjust the Diagnostic-Related Group (DRG) classifications and relative weights annually. CMS reclassifies the DRGs and recalibrates the DRG weights to address what changes are necessary to compensate adequately for costs under PPS. The process by which DRG codes are updated is called reclassification. It involves an assessment of the appropriateness of the DRG assignment within the Major Diagnostic Category (MDC), which entails reclassifying the codes to account for new medical technologies and treatment patterns.
### CDRH Premarket Program Measures

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<td>Consolidated Offices (Office of Device Evaluation and Office of In Vitro Diagnostic Device Evaluation)</td>
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<td>A. Increase public access to medical devices by meeting MDUFA 510(k) premarket review decision goals.</td>
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<td>1. Percent of CDRH 510(k) decisions meeting MDUFA goals during the month</td>
<td>90%</td>
<td>93%</td>
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<td>a. Number of CDRH 510(k) decisions made in 90 days or less during the month (meeting goal)</td>
<td>270</td>
<td>210</td>
<td>241</td>
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<td>b. Total number of CDRH 510(k) decisions made during the month</td>
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<td>230</td>
<td>267</td>
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<td>1. Percent of ODE 510(k) decisions meeting MDUFA goals during the month</td>
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<td>a. Number of ODE 510(k) decisions made in 90 days or less during the month (meeting goal)</td>
<td>246</td>
<td>185</td>
<td>215</td>
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<td>190</td>
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<td>b. Total number of ODE 510(k) decisions made during the month</td>
<td>260</td>
<td>198</td>
<td>236</td>
<td>243</td>
<td>206</td>
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IV. Participation and Collaboration: Action Plan

• Establish an HHS “Community of Practice” on Participation and Collaboration

• Compile and maintain an HHS “Workplace Menu” of Participation and Collaboration Tools

• Push our learning and know-how regarding innovative methods of participation and collaboration through a multitude of efforts across HHS – “learn by doing”
V. Flagship Initiatives

1. CMS Dashboard

2. FDA Transparency Initiative

3. FDA-TRACK

4. HHS FOIA Excellence

5. Community Health Data Initiative
Beginnings

• We are excited about the power of Open Government to help advance our ability to improve the health and well-being of the American people

• This plan represents just the beginning of a journey toward a more open HHS

• It’s a plan that we will continue to update over time as we seek to change how HHS operates for the better

• We will continue to gather input from employees and the public, update our plan, and update the public on progress executing our plan at www.hhs.gov/open on an ongoing basis
Open Government Principles

- Publish Government Information Online
- Disaggregate Information
- Improve the Quality of Government Information
- Grow and Improve through User Feedback
- Create and Institutionalize a Culture of Open Government
- Program Responsibility
- Create an Enabling Policy Framework for Open Government
- Leverage Open Platforms
- Embrace, Scale and Drive Best Practices
Leverage Existing Leadership & Governance

• Open Government led from Deputy Secretary’s Office
• Strategic Executive Steering Committees (Proposed)
• CIO Council
• CTO Council
• Data Council
• IT Investment Review Board
• Secretary’s Budget Council
Notional Governance Flow

Strategic Planning:
- Health
- Human Services
- Research
- Population Health
- Administration & Management

Architectural Planning:
- CTO Council
- CIO Council
- Data Council

IT Investment Planning:
- IT Investment Review Board

Program Planning & Budget Formulation:
- Secretary’s Budget Council

Legislation
Directives
Initiatives
Other Requirements

Open Government Principles
Leveraging Existing Processes

- Enterprise Architecture
- IT Capital Planning
- Enterprise Performance Life Cycle
- Enterprise Security
- Information Collection (PRA)
- Program Development and Performance
- Web Application and Content Management
- FOIA
Process Integration Supports Open Government
The Open Government Directive called for a data quality plan implementing the Framework for the Quality of Federal Spending Information

Key Components of the Framework:
- Governance
- Risk Assessment
- General Governing Principles and Control Activities
- Communications
- Monitoring
Proposed Data Quality Framework
CMS OpenGov Initiatives

- **Data.gov datasets**
  - Shifting to a culture of openness about health care data to allow others to innovate
  - Accepting responsibility for providing “pointers” to assist potential innovators on the meaning of the data/datasets
  - Assuring the quality of the data and information derived from underlying distributed data/datasets
  - Addressing access and accessibility while balancing the privacy and security aspects of widely disseminating data that will be “repurposed” by innovators

- **Dashboard – What are the immediate and longer term goals of providing data via a “dashboard?”**
  - Best as “thought generators”, not the final answer
  - Take to higher levels of abstraction
New Horizons for CMS

- Hosting Data Clouds
- Comparative Effectiveness Research
- Data Visualization
- NIEM Health Care Community
- Social Media Applications
- Cross-Domain Data Administration
- Maturing Enterprise Architecture
- Privacy and Security Applied at Data Level
- Investing in our People to Build a Culture of Innovation and Excellence
- Fostering the Entrepreneurial Spirit
Questions for the Panel?